

UNITED STATES BANKRUPTCY COURT

EASTERN DISTRICT OF WISCONSIN

In re: ALLUVIUM FUND LLC §
fka Greenpoint Tactical Income Fund LLC §

Debtor(s) §

Case No. 19-29613
Lead Case No. 19-29613
☒ Jointly Administered

Post-confirmation Report

Chapter 11

Quarter Ending Date: 03/31/2024

Petition Date: 10/04/2019

Plan Confirmed Date: 05/19/2022

Plan Effective Date: 05/19/2022

This Post-confirmation Report relates to: ☒ Reorganized Debtor
☐ Other Authorized Party or Entity: _____
Name of Authorized Party or Entity

/s/ Annette Kaja
Signature of Responsible Party
04/17/2024
Date

Annette Kaja
Printed Name of Responsible Party
34334 Valley Road, Oconomowoc, WI 53066
Address

STATEMENT: This Periodic Report is associated with an open bankruptcy case; therefore, Paperwork Reduction Act exemption 5 C.F.R. § 1320.4(a)(2) applies.

Part 1: Summary of Post-confirmation Transfers

	Current Quarter	Total Since Effective Date
a. Total cash disbursements	\$75,695	\$7,039,030
b. Non-cash securities transferred	\$0	\$0
c. Other non-cash property transferred	\$0	\$0
d. Total transferred (a+b+c)	\$75,695	\$7,039,030

Part 2: Preconfirmation Professional Fees and Expenses

a.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (bankruptcy) incurred by or on behalf of the debtor		\$0	\$4,679,401	\$0	\$4,065,032
	Aggregate Total					
	Itemized Breakdown by Firm					
	Firm Name	Role				
i	Steinhilber Swanson LLP	Lead Counsel	\$0	\$2,306,557	\$0	\$1,732,337
ii	Freeborn & Peters	Other	\$0	\$1,159,076	\$0	\$1,159,076
iii	Morris Anderson	Financial Professional	\$0	\$672,718	\$0	\$672,718
iv	Husch Blackwell LLP	Special Counsel	\$0	\$26,520	\$0	\$0
v	Phoenix	Other	\$0	\$189,793	\$0	\$189,793
vi	Clifton Larson Allen LLP	Financial Professional	\$0	\$41,806	\$0	\$41,806
vii	Tucker Arensberg, P.C.	Other	\$0	\$87,245	\$0	\$87,245
viii	Latimer LaVey Fyock, LLC	Special Counsel	\$0	\$21,390	\$0	\$21,390
ix	Braganca Law LLC	Special Counsel	\$0	\$140,165	\$0	\$140,165
x	Kopecky Schumacher Rosenber	Special Counsel	\$0	\$20,502	\$0	\$20,502
xi	Landsman Saldinger Carroll	Special Counsel	\$0	\$13,629	\$0	\$0
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b.			Approved Current Quarter	Approved Cumulative	Paid Current Quarter	Paid Cumulative
	Professional fees & expenses (nonbankruptcy) incurred by or on behalf of the debtor		\$193,983	\$1,011,408	\$65,225	\$544,821
	<i>Aggregate Total</i>					
	<i>Itemized Breakdown by Firm</i>					
	Firm Name	Role				
i	Braganca Law	Special Counsel	\$0	\$0	\$0	\$0
ii	Landsman Saldinger Carroll	Special Counsel	\$0	\$34,751	\$0	\$34,751
iii	Steinhilber Swanson LLP	Other	\$0	\$333,233	\$0	\$17,388
iv						
v						
vi						

vii	Smith Gambrell Russell	Lead Counsel	\$146,959	\$415,869	\$54,984	\$267,046
viii	Phoenix Management Services	Other	\$38,432	\$185,867	\$8,808	\$156,242
ix	Clifton Larson Allen LLP	Financial Professional	\$8,592	\$41,688	\$1,433	\$69,394
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c.	All professional fees and expenses (debtor & committees)			\$0	\$0	\$0	\$0

Part 3: Recoveries of the Holders of Claims and Interests under Confirmed Plan

	Total Anticipated Payments Under Plan	Paid Current Quarter	Paid Cumulative	Allowed Claims	% Paid of Allowed Claims
a. Administrative claims	\$1,461,354	\$0	\$745,905	\$9,240,134	8%
b. Secured claims	\$0	\$0	\$0	\$0	0%
c. Priority claims	\$0	\$0	\$0	\$0	0%
d. General unsecured claims	\$1,095,373	\$0	\$835,145	\$1,095,373	76%
e. Equity interests	\$0	\$0	\$0		

Part 4: Questionnaire

- a. Is this a final report? Yes ☐ No ☒
- If yes, give date Final Decree was entered: _____
- If no, give date when the application for Final Decree is anticipated: 03/31/2024
- b. Are you current with quarterly U.S. Trustee fees as set forth under 28 U.S.C. § 1930? Yes ☒ No ☐

Privacy Act Statement

28 U.S.C. § 589b authorizes the collection of this information and provision of this information is mandatory. The United States Trustee will use this information to calculate statutory fee assessments under 28 U.S.C. § 1930(a)(6) and to otherwise evaluate whether a reorganized chapter 11 debtor is performing as anticipated under a confirmed plan. Disclosure of this information may be to a bankruptcy trustee when the information is needed to perform the trustee's duties, or to the appropriate federal, state, local, regulatory, tribal, or foreign law enforcement agency when the information indicates a violation or potential violation of law. Other disclosures may be made for routine purposes. For a discussion of the types of routine disclosures that may be made, you may consult the Executive Office for United States Trustee's systems of records notice, UST-001, "Bankruptcy Case Files and Associated Records." *See* 71 Fed. Reg. 59,818 et seq. (Oct. 11, 2006). A copy of the notice may be obtained at the following link: http://www.justice.gov/ust/eo/rules_regulations/index.htm. Failure to provide this information could result in the dismissal or conversion of your bankruptcy case, or other action by the United States Trustee. 11 U.S.C. § 1112(b)(4)(F).

I declare under penalty of perjury that the foregoing Post-confirmation Report and its attachments, if any, are true and correct and that I have been authorized to sign this report.

/s/ Annette Kaja

Signature of Responsible Party

Managing Member

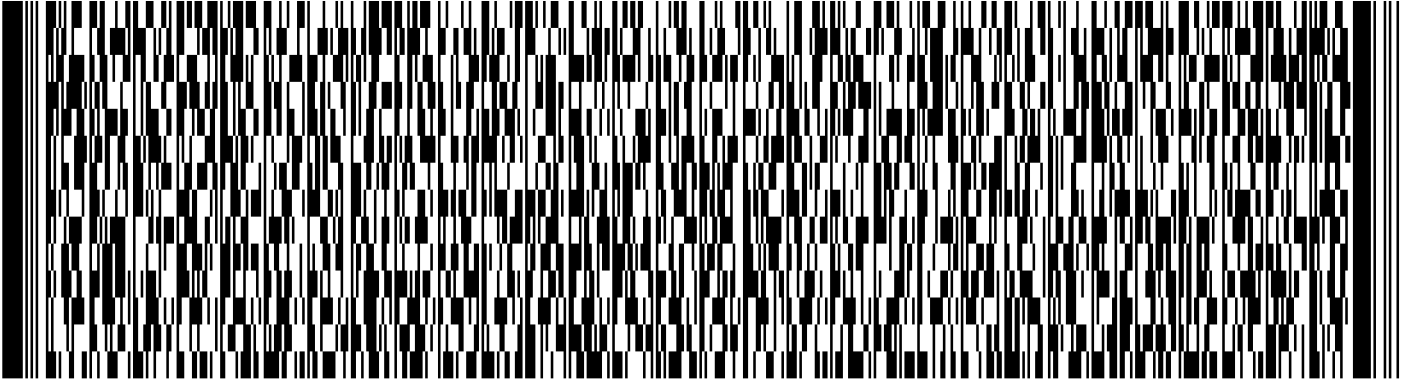
Title

Annette Kaja

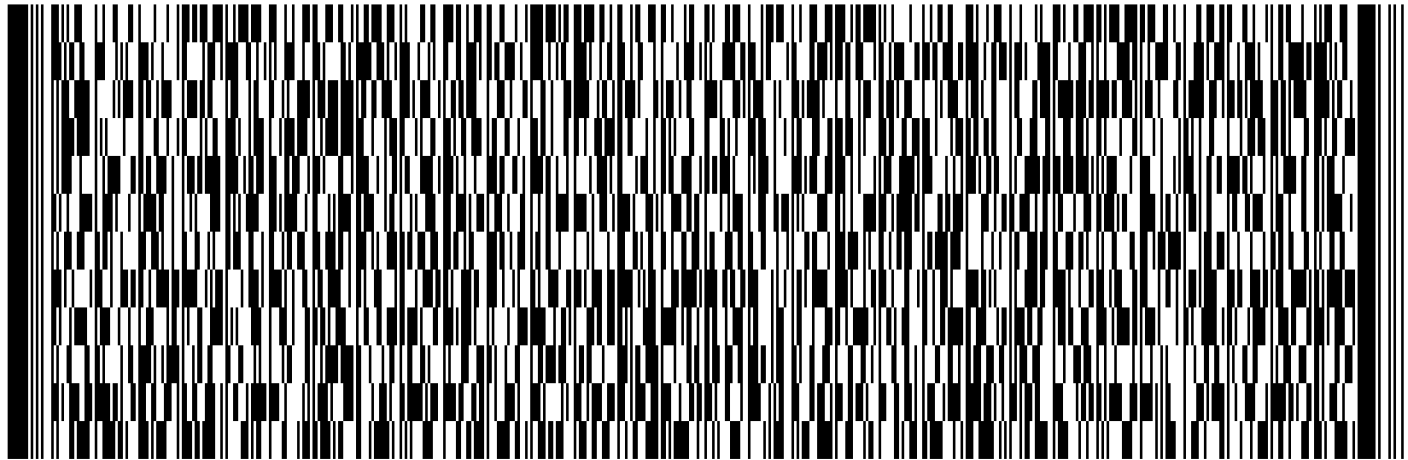
Printed Name of Responsible Party

04/17/2024

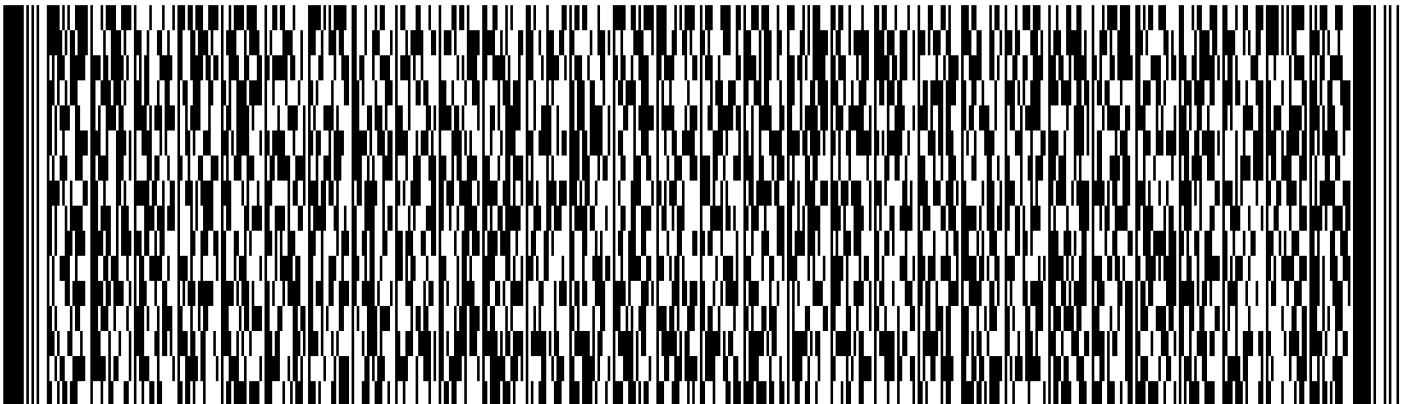
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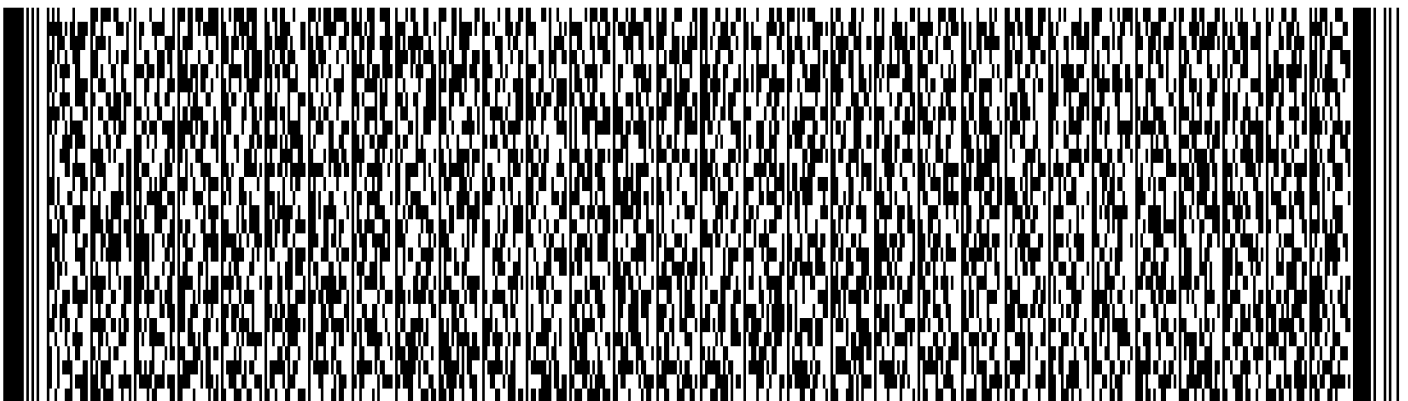
Page 1



Other Page 1



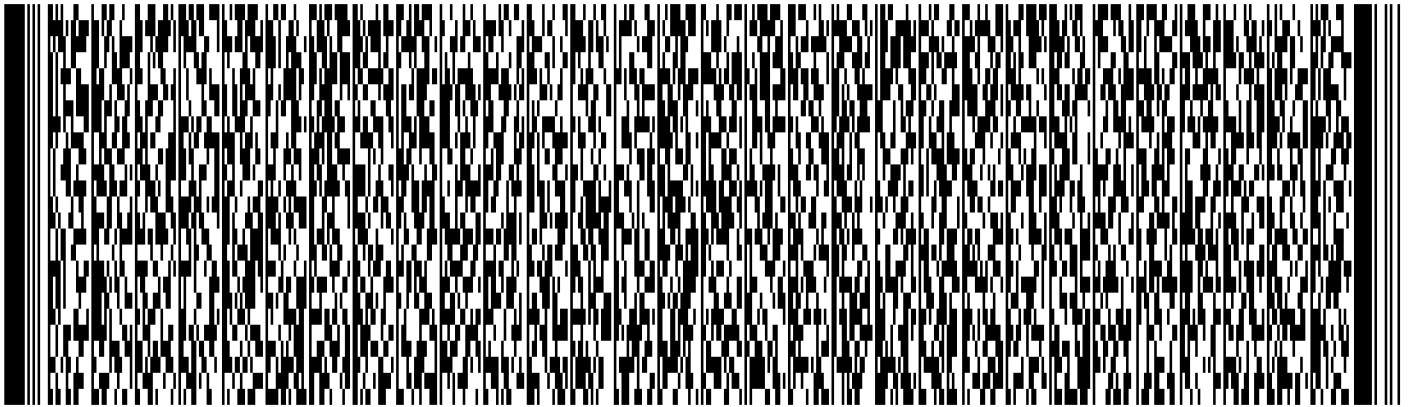
Page 2 Minus Tables



Bankruptcy Table 1-50



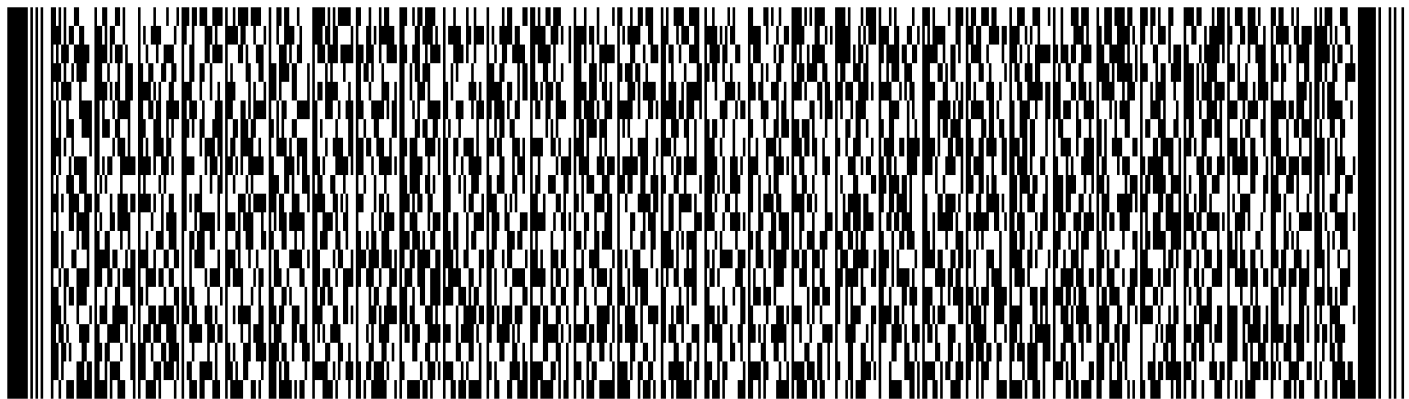
Bankruptcy Table 51-100



Non-Bankruptcy Table 1-50



Non-Bankruptcy Table 51-100



Part 3, Part 4, Last Page

Period Covered:
January 01, 2024 - January 31, 2024
Page 1 of 2

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name
ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$51,381.53	\$106,232.08
Total		\$51,381.53	\$106,232.08

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$51,381.53
		+Total Additions	\$120,000.00
		-Total Subtractions	\$65,149.45
		Ending Balance	\$106,232.08

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
01-11	JIMMY JOHNS - 30 POS	\$52.66
01-12	Amazon.com*RT8YZ POS	\$38.43
01-23	WIRE TO NUVIEW TRUST COMPANY	\$9,622.00
01-23	WIRE TO SMITH GAMBRELL RUSSELL	\$54,983.56
01-26	WI DFI 3SB ONEST POS	\$26.00
01-30	NAME-CHEAP.COM* POS	\$26.80
01-31	BANK & TECH FEE	\$400.00

Credits

Date	Description	Additions
01-23	WIRE FROM ANNETTE KAJA	\$120,000.00

Daily Balances

Date	Amount	Date	Amount	Date	Amount
01-11	\$51,328.87	01-12	\$51,290.44	01-23	\$106,684.88
01-26	\$106,658.88	01-30	\$106,632.08	01-31	\$106,232.08

In case of errors or questions about your account telephone Stretto at: 800.634.7734 or email us at: banking.services@stretto.com
As soon as you recognize an error, or if you need more information about a transaction listed on the statement or receipt:

Please Provide:

- Name and account number.
- A detailed explanation of why you believe there is an error or why you need more information.
- The dollar amount of the suspected error.
- We must hear from you no later than 60 days after we sent you the FIRST statement on which the error appeared.

We will investigate your disputed transactions and correct any error promptly. If not resolved within 10 business days, Metropolitan Commercial Bank will credit your account for the amount in error, and notify you within 2 business days, so that you may use the funds during the time it takes us to complete our investigation.

Period Covered:
February 01, 2024 - February 29, 2024
Page 1 of 2

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name

ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$106,232.08	\$95,715.62
Total		\$106,232.08	\$95,715.62

TRUSTEE CHECKING

Account Number:

Enclosures	0	Beginning Balance	\$106,232.08
		+Total Additions	\$0.00
		-Total Subtractions	\$10,516.46
		Ending Balance	\$95,715.62

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
02-08	CLIFTON LARSON A POS	\$1,433.25
02-13	WIRE TO PHOENIX MANAGEMENT	\$8,807.50
02-21	Amazon.com*RI53C POS	\$115.49
02-23	TST* MO'S IRISH POS	\$40.22
02-29	BANK & TECH FEE	\$120.00

Daily Balances

Date	Amount	Date	Amount	Date	Amount
02-08	\$104,798.83	02-13	\$95,991.33	02-21	\$95,875.84
02-23	\$95,835.62	02-29	\$95,715.62		

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Period Covered:
March 01, 2024 - March 31, 2024
Page 1 of 1

Annette Kaja
34334 Valley Rd
Oconomowoc WI 53066

Case Number
Case Name
Trustee Number
Trustee Name
ALLUVIUM
Alluvium Fund, LLC
690700
Annette Kaja
FIDUCIARY

Questions:
800.634.7734
banking.services@stretto.com
www.stretto.com

Consolidated Balance Summary

Account	Number	Ending Balance Prior Period	Ending Balance This Period
Checking Account			
TRUSTEE CHECKING		\$95,715.62	\$0.00
Total		\$95,715.62	\$0.00

TRUSTEE CHECKING

Account Number: [REDACTED]

Enclosures	0	Beginning Balance	\$95,715.62
		+Total Additions	\$0.00
		-Total Subtractions	\$95,715.62
		Ending Balance	\$0.00

* Indicates a Skip in Check Number(s)
"E" Indicate an Electronic Check

Debits

Date	Description	Subtractions
03-01	GOOGLE GSUITE_a POS	\$16.88
03-01	NAME-CHEAP.COM* POS	\$7.88
03-01	NAME-CHEAP.COM* POS	\$4.48
03-07	WIRE TO ANNETTE KAJA-ALLUVIUM FUN	\$95,686.38

Daily Balances

Date	Amount	Date	Amount	Date	Amount
03-01	\$95,686.38	03-07	\$0.00		

In case of errors or questions about your account telephone Stretto at: 800.634.7734 or email us at: banking.services@stretto.com
As soon as you recognize an error, or if you need more information about a transaction listed on the statement or receipt:

Please Provide:

- Name and account number.
- A detailed explanation of why you believe there is an error or why you need more information.
- The dollar amount of the suspected error.
- We must hear from you no later than 60 days after we sent you the FIRST statement on which the error appeared.

We will investigate your disputed transactions and correct any error promptly. If not resolved within 10 business days, Metropolitan Commercial Bank will credit your account for the amount in error, and notify you within 2 business days, so that you may use the funds during the time it takes us to complete our investigation.



ALLUVIUM FUND LLC
34334 VALLEY RD
OCONOMOWOC WI 53066-4922

Have a Question or Concern?

Stop by your nearest
Huntington office or
contact us at:

1-800-480-2001

www.huntington.com/
businessresources

Huntington Unlimited Plus Checking

Account: -----8416

Statement Activity From: 03/01/24 to 03/31/24		Beginning Balance	\$0.00
		Credits (+)	95,688.34
		Wire Transfer Credits	95,686.38
		Interest Earned	1.96
Days in Statement Period	31	Total Service Charges (-)	0.00
Average Ledger Balance*	77,166.43	Ending Balance	\$95,688.34
Average Collected Balance*	77,166.43		
* The above balances correspond to the service charge cycle for this account.			

Average Percentage Yield Earned this period 0.029%

Other Credits (+)

Account:-----8416

Date	Amount	Description
03/07	95,686.38	INCOMING FEDWIRE TRANSFER
03/29	1.96	INTEREST PAYMENT

Service Charge Summary

Account:-----8416


Previous Month Service Charges (-)	\$0.00
Total Service Charges (-)	\$0.00

Balance Activity

Account:-----8416

Date	Balance	Date	Balance	Date	Balance
02/29	0.00	03/07	95,686.38	03/29	95,688.34

Investments are offered through the Huntington Investment Company, Registered Investment Advisor, member FINRA/SIPC, a wholly-owned subsidiary of Huntington Bancshares Inc.

The Huntington National Bank is Member FDIC. ®, Huntington® and 24-Hour Grace® are federally registered service marks of Huntington Bancshares Incorporated. The 24-Hour Grace® system and method is patented: US Pat. No. 8,364,581, 8,781,955, 10,475,118, and others pending. © 2024 Huntington Bancshares Incorporated.

In the Event of Errors or Questions Concerning Electronic Fund Transfers (electronic deposits, withdrawals, transfers, payments, or purchases), please call either 1-614-480-2001 or call toll free 1-800-480-2001, or write to The Huntington National Bank Research - EA4W61, P.O. Box 1558, Columbus, Ohio 43216 as soon as you can, if you think your statement or receipt is wrong or if you need more information about an electronic fund transfer on the statement or receipt. We must hear from you no later than 60 days after we sent you the FIRST statement on which the error or problem appeared.

1. Tell us your name, your business's name (if appropriate) and the Huntington account number (if any).
2. Describe the error or the transaction you are unsure about, and explain as clearly as you can why you believe there is an error or why you need more information.
3. Tell us the dollar amount of the suspected error. We will investigate your complaint or question and will correct any error promptly.

Verification of Electronic Deposits If you authorized someone to make regular electronic fund transfers of money to your account at least once every sixty days, you can find out whether or not the deposit has been received by us, call either 1-614-480-2001 or call toll free 1-800-480-2001.

Balancing Your Statement - For your convenience, a balancing page is available on our web site <https://www.huntington.com/pdf/balancing.pdf> and also available on Huntington Business Online.

IMPORTANT INFORMATION ABOUT YOUR HUNTINGTON ACCOUNT(S)

- i. New language has been added to the Business Account Charges Form to further explain the Personal Checking Account Relationship benefit on your Unlimited Plus Business Checking account. Section 6 of your Business Account Charges Form is now replaced with the following:

Personal Checking Account Relationship Benefit

The business can avoid the monthly checking maintenance fee for any statement period on this checking account when a business owner maintains a personal Huntington Platinum Perks Checking Account, Private Client Checking Account, or a SmartInvest Checking Account through that same statement period. Business ownership for the purposes of this benefit is defined as a 25% or greater beneficial ownership interest and is determined by information in Huntington's client records. To update business ownership information please contact (800) 480-2001 or visit your nearest branch. To remove the benefit of a personal relationship, the owner of the personal checking account will need to contact (800) 480-2001.

Please retain this information for your records.